

FORM PTO-1390
(REV. 10-94)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

11336.1024USWO

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

10/589862

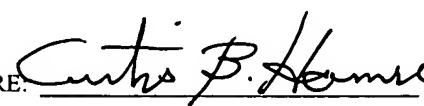
| | | |
|-------------------------------|---------------------------|-----------------------|
| INTERNATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED |
| PCT/IB2004/000404 | February 19, 2004 | February 19, 2004 |
| TITLE OF INVENTION | | |
| ENTERIC COATING COMPOSITIONS | | |
| APPLICANT(S) FOR DO/EO/US | | |
| PAREEK et al. | | |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US)
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An unsigned oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form 1449, references.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A **FIRST** preliminary amendment.
 A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. A substitute specification.
15. A change of power of attorney and/or address letter.
16. Other items or information: Application Data Sheet (4 pages), International Publication Page

| | | |
|--|--|--|
| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/589862 | INTERNATIONAL APPLICATION NO. PCT/IB2004/000404 | ATTORNEY'S DOCKET NUMBER 11336.1024USWP |
| BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)): | | |
| <input checked="" type="checkbox"/> a) Basic National fee..... \$300 | | \$300 |
| <input checked="" type="checkbox"/> b) Examination fee..... \$200 | | \$200 |
| <input checked="" type="checkbox"/> c) Search fee..... \$500 | | \$500 |
| TOTAL OF ABOVE CALCULATIONS = | | \$1000 |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | |
| Total Sheets | Extra Sheets | Number of each additional set of 50 sheets, more than 100 sheets |
| 10 -100 = 0 | /50 = | X \$250 \$0 |
| Surcharge of \$130 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | \$ |
| CLAIMS (AS FILED) | | NUMBER EXTRA |
| Total claims | 11 -20 = 0 | X \$50 \$0 |
| Independent claims | 2 -3 = 0 | X \$200 \$0 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | + \$360 \$ |
| TOTAL OF ABOVE CALCULATIONS = | | \$1000 |
| Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27 | | - \$500 |
| SUBTOTAL = | | \$500 |
| Processing fee of \$130 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | + \$ |
| TOTAL NATIONAL FEE = | | \$500 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property | | + \$ |
| TOTAL FEES ENCLOSED = | | \$500 |
| | | Amount to be: refunded \$ |
| | | charged \$ |
| <p>a. <input checked="" type="checkbox"/> Check(s) in the amount of <u>\$500</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge the amount of \$ to cover the required filing fee for a large entity to the credit card listed on the enclosed credit card authorization form.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3478.</p> | | |
| <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> | | |
| SEND ALL CORRESPONDENCE TO: Curtis B. Hamre Hamre, Schumann, Mueller & Larson, P.C. P.O. Box 2902 Minneapolis, MN 55402 | | |
| SIGNATURE:  NAME: Curtis B. Hamre | | |
| REGISTRATION NUMBER: 29,165 | | |

10/589862

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IAP14 Rec'd PCT/PTO 18 AUG 2006

Applicant: PAREEK et al.

Docket: 11336.1024USWO

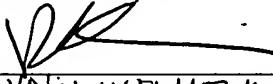
Title: ENTERIC COATING COMPOSITIONS

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 858800342 US

Date of Deposit: August 18, 2006

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

By: 

Name: RAYLYN N. WILHELM

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

We are transmitting herewith the attached:

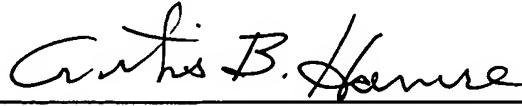
- Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- National Stage PCT Patent Application: Spec. 7 pages, Claims 2 pages, and 1 page of abstract.
The fee has been calculated as shown below in the 'Claims as filed' table.
- An unsigned Combined Declaration and Power of Attorney
- Check in the amount of \$500 to cover the Filing Fee
- Form 1390
- Claiming SMALL ENTITY
- Application Data Sheet, 4 pages.
- Other items or information: International Publication Page
- Return postcard

CLAIMS AS FILED (SMALL ENTITY)

| Number of Claims Filed | No. | In Excess of | Extra | Rate | = | Fee |
|-------------------------------|-----|--------------|-------|--------|---|--------|
| Total Claims | 11 | 20 | 0 | 50.00 | = | 0 |
| Independent Claims | 2 | 3 | 0 | 200.00 | = | 0 |
| Multiple Dependent Claims Fee | | | | | = | 0.00 |
| Basic Filing Fee | | | | | = | 150.00 |
| Search Fee | | | | | = | 250.00 |
| Examination Fee | | | | | = | 100.00 |
| Utility Application Size Fee | 10 | 100 | 0 | 250.00 | = | 0.00 |
| Total | | | | | = | \$500 |

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C.
P.O. Box 2902 Minneapolis, MN 55402
612.455-3800

By: 
Name: Curtis B. Hamre
Reg. No.: 29,165
Initials: CBH:rkw

52835

PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)